

## APPENDIX 3

### Review of Local Government Ethical Standards: Stakeholder Consultation

- a. Are the existing structures, processes and practices in place working to ensure high standards of conduct by local councillors? If not, please say why.
- b. What, if any, are the most significant gaps in the current ethical standards regime for local government?

#### Codes of Conduct

- c. Are local authority adopted codes of conduct for councillors clear and easily understood? Do the codes cover an appropriate range of behaviours? What examples of good practice, including induction processes, exist?
- d. A local authority has a statutory duty to ensure that its adopted code of conduct for councillors is consistent with the Seven Principles of Public Life and that it includes appropriate provision (as decided by the local authority) for registering and declaring councillors' interests. Are these requirements appropriate as they stand? If not, please say why.

#### Investigations and decisions on allegations

- e. Are allegations of councillor misconduct investigated and decided fairly and with due process?
  - (i) What processes do local authorities have in place for investigating and deciding upon allegations? Do these processes meet requirements for due process? Should any additional safeguards be put in place to ensure due process?
  - (ii) Is the current requirement that the views of an Independent Person must be sought and taken into account before deciding on an allegation sufficient to ensure the objectivity and fairness of the decision process? Should this requirement be strengthened? If so, how?
  - (iii) Monitoring Officers are often involved in the process of investigating and deciding upon code breaches. Could Monitoring Officers be subject to conflicts of interest or undue pressure when doing so? How could Monitoring Officers be protected from this risk?

#### Sanctions

- f. Are existing sanctions for councillor misconduct sufficient?
  - (i) What sanctions do local authorities use when councillors are found to have breached the code of conduct? Are these sanctions sufficient to deter breaches and, where relevant, to enforce compliance?

- (ii) Should local authorities be given the ability to use additional sanctions? If so, what should these be?

#### Declaring interests and conflicts of interest

- g. Are existing arrangements to declare councillors' interests and manage conflicts of interest satisfactory? If not, please say why.
  - (i) A local councillor is under a legal duty to register any pecuniary interests (or those of their spouse or partner) and cannot participate in discussion or votes that engage a disclosable pecuniary interest, nor take any further steps in relation to that matter, although local authorities can grant dispensations under certain circumstances. Are these statutory duties appropriate as they stand?
  - (ii) What arrangements do local authorities have in place to declare councillors' interests and manage conflicts of interest that go beyond the statutory requirements? Are these satisfactory? If not, please say why.

#### Whistleblowing

- h. What arrangements are in place for whistleblowing, by the public, councillors, and officials? Are these satisfactory?

#### Improving Standards

- i. What steps could local authorities take to improve local government ethical standards?
- j. What steps could central government take to improve local government ethical standards?

#### Intimidation of local councillors

- k. What is the nature, scale and extent of intimidation towards local Councillors?
  - (i) What measures could be put in place to prevent and address this intimidation?